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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 4.US3.PCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PCT/US2003/040844 December 22, 2003 December 24, 2002 TITLE OF INVENTION DIARYLAMINE AND ARYLHETEROARYLAMINE PYRAZOLE DERIVATIVES AS MODULATORS OF 5HT24 APPLICANT(S) FOR DO/EO/US Bradley Teegarden, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. 🛛 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and 3. 🖂 (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))) a. Is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. X is not required, as the application was filed in the United States Receiving Office(RO/US) 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. 8. 🔲 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🖂 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. 🔲 Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔲 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🗌 13. 🛛 A preliminary amendment. 14. 🛛 An Application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. 🛛 A power of attorney and/or address letter. - included on the Declaration A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 17. 18. 🔲 A second copy of the published international application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 🔲

Other items or information: Multiple Dependent Claim Fee Calculation Sheet

20. 🛛

JC09 Rec'd PCT/PTO 23 JUN 2005

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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• • •	PLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/US2003/040844			I NO.	ATTORNEY'S DOCKET NUMBER 4.US3.PCT			
21. The following	fees are submitted:) U				Applicant use	Office use only	
_						\$ 300.00		
a) Basic national fee\$300.00						\$ 200.00		
∑ b) Examination fee					\$ 500.00			
🔀 c) Search fee.			ALCULATIONS =		\$500.00 \$1000.00	\$1,000.00		
						\$1,000.00		
			paper over 100 sheets (ex onic medium). The fee is \$					
additional 50 she	eets of paper or fraction th	ereof					·	
Total Sheets	Extra sheets		er of each 50 or fraction th ound up to a whole numbe		RATE	-		
91 - 100 =	0/50=		0		x \$250.00	\$		
Surcharge of \$130.00 for from the earliest claime	or furnishing the oath or d d priority date (37 CFR 1.	eclaratior 492(e)).	later than 30 months			\$		
CLAIMS	NUMBER FILI	ΞD	NUMBER EXTRA		RATE	\$		
Total Claims	31	- 20 =	11		x \$50.00	\$ 550.00		
Independent Claims		1 - 3=	0		x \$200.00	\$		
MULTIPLE DEPENDEN	T CLAIMS(S) (if applicable	е)	Yes		+ \$300.00	\$ 300.00		
			TOTAL OF ABOV	E CAL	CULATIONS =	\$1,850.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$ 925.00		
					SUBTOTAL =	\$ 925.00		
Processing fee of \$130 priority date (37 CFR 1.		glish trans	slation later than 30 month	hs from t	he earliest claimed +	\$		
TOTAL NATIONAL FEE =						\$ 925.00		
Fee for recording the er appropriate cover sheet	nclosed assignment (37 Ct (37 CFR 3.28, 3.31). \$4	FR 1.21(l 0.00 per p	h)). The assignment must property	be accor	npanied by an +	\$		
			TOTAL		ENCLOSED =	\$ 925.00		
				Amo	unt to be refunded:		\$	
				Amo	ount to be charged:		\$	
a. A check in th	ne amount of \$ to c	over the a	above fees is enclosed.					
	ge my Deposit Account No copy of this sheet is enclo		in the amount of \$925.0	0 to cove	r the above fees.			
c. The Commis	sioner is hereby authorize ount No. 50-1441. A dup	ed to char	rge any additional fees whi y of this sheet is enclosed	ich may t	e required, or credit	any overpayment to		
d Fees are to I	be charged to a credit car	d. WARN	IING: Information on this form. Provide credit card it	form may	become public. Cron and authorization	edit card on PTO-2038.		
	ropriate time limit under e the application to pen		1.494 or 1.495 has not be us.	en met,	a petition to revive	(37 CFR 1.137(a) or (b)) must be filed	
SEND ALL CORRESPO		.			An	le W. fr	Me	
Arena Pharmaceu	uticals, Inc.	a will						
6166 Nancy Ridge San Diego, CA 92	e Drive			Lyle W. Spruce				
			53,631					
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June 23, 2005

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Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

RE:

U.S. National Phase Patent Application of

Serial No. PCT/US03/040844

For: "DIARYLAMINE AND ARYLHETEROARYLAMINE PYRAZOLE

DERIVATIVES AS MODULATORS OF 5HT_{2A}"

Inventors: Bradley Teegarden, et al.

Our Ref.: 4.US3.PCT

Dear Sir:

Enclosed please find the above-identified U.S. National Phase application under 35 U.S.C. 371 for filing with the United States Patent and Trademark Office. The applicant claims Small Entity status. The following documents are transmitted herewith:

	•	Qua	<u>ntity</u>
1)	Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US)	2	Pages
	Concerning a Filing Under 35 U.S.C. 371		
2)	Declaration and Power of Attorney (unsigned)	5	Pages
3)	Preliminary Amendment	13	Pages
4)	Application Data Sheet	5	Pages
5)	Authorization to charge Deposit Account 50-1441 in the amount of \$925.00		
	for the filing fee – (see Transmittal Sheet)		
6)	Multiple Dependent Claim Fee Calculation Sheet	1	Page
7)	Return Receipt Postcard		

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment in the processing of these documents to our Deposit Account No. 50-1441.

Very truly yours,

ARENA PHARMACEUTICALS, INC.

Lyle W. Spruce Reg. No. 53,631

Certificate of Mailing under 37 CFR 1.10

Express Mail Label No.: <u>EV 332847705 US</u> Date of Deposit: <u>June 23, 2005</u> I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the Date of Deposit shown above, postage prepaid and is addressed to Mail Stop PCT,

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ignature

Lyle W. Seruc

LWS / lal Enclosures